

Recommendation Summary - ('Red' and 'Red / Amber' open recommendations)

8.1 Annex 1 - Appendix B

Directorate / Area	No. of Audits	No. of Red Recs	No. of Red/ Amber Recs	'open recommendations' - by audit assurance rating				'open recommendation' by			
				No. of Recs Effective	No. of Recs Effective with Opportunity for Improvement	No. of Recs Insufficient with major improvement needed	No. of Recs Unsatisfactory	No. of Recs N/A	No. of Recs with Amended Action Date	No. of Recs where action date has passed	Current target date not yet due
Economic Development	8	2	14		13	3			12	1	15
Education & Lifelong Learning - Schools	17	1	41		39	3			35	24	18
Education & Lifelong Learning	9	4	13		5	8		4	16	3	14
Corporate Governance (Resources)	5		5		4	1			1	1	4
External and Grants (Resources)											
Fundamental (Resources)	1		1		1				1	0	1
Other Assurance (Resources)	1	1	2			3			2	3	0
Resources	2	1	3		1	3			3	1	3
Governance & Legal Services	1		2					2	2	0	2
Planning, Transport & Environment	8	1	13		7	5		2	12	4	10
People and Communities	1		1		1				0	0	1
Children's Services	4	2	4			4		2	6	0	6
Adults Social Services, Communities & Housing	5	1	7	1	1	6			7	0	8
Social Services - General	2	1	2		1	2			3	0	3
<b>TOTALS</b>	<b>64</b>	<b>14</b>	<b>108</b>	<b>1</b>	<b>73</b>	<b>38</b>	<b>0</b>	<b>10</b>	<b>100</b>	<b>37</b>	<b>85</b>

1 - overdue action where the evidence is under review by Internal Audit.  
 7 - overdue actions for which evidence has been requested, in order to close actions.  
 12 - overdue actions for which an update has been requested.  
 4 - overdue actions where a revised action date has been requested.

3 - overdue actions for which evidence has been requested for 2 actions, and 1 action where an update is required.  
 4 - N/A actions related to instance where Directorate was sampled as part of a wider corporate report, and an opinion was not provided at a directorate level.

1 - overdue action for which an update has been requested.

3 - overdue actions for which an update has been requested.

1 - overdue action for which an update has been requested.

2 - N/A actions related to instance where Directorate was sampled as part of a wider corporate report, and an opinion was not provided at a directorate level.

2 - N/A actions related to instance where Directorate was sampled as part of a wider corporate report, and an opinion was not provided at a directorate level.  
 4 - overdue actions for which an update has been requested

2 - N/A actions related to instance where Directorate was sampled as part of a wider corporate report, and an opinion was not provided at a directorate level.